

*Latest Technological Developments & Strategies To Achieve  
Excellence In The New Millennium For*

# BACKROOM OPERATIONS *in* FINANCIAL INSTITUTIONS

1-2 February 2000, Marriott Hotel, Singapore

## VITAL ISSUES TO BE ADDRESSED AT THIS CONFERENCE INCLUDE:

- Global and regional trends in securities trading and settlement
- Recent regulatory developments in Asia's securities market and what to expect beyond the year 2000
- Key challenges facing backroom operators in today's globally competitive and technologically sophisticated environment
- Integrating, optimising and streamlining backroom operations for greater operational efficiency
- Critical importance of benchmarking your backroom operations against international practices
- Increasing adoption of Straight-Through-Processing, Electronic Trade Confirmation and Continuous Linked Settlement Systems to attain competitive advantage
- Practical tools and strategies for mitigating operational and settlement risks
- Creating greater value propositions for institutional investors through enhanced custodial services
- Effective strategies and models for cross-border securities trading and settlement
- Developing a Business Continuity Plan for effective crisis management

Organised by:

**The Asia  
BUSINESS  
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Official Publications:

**THE ASIAN BANKER**  
*Journal*

**GLOBAL**  
CUSTODIAN

## PANEL OF DISTINGUISHED SPEAKERS INCLUDE:

- **Angus Richards**  
Deputy Managing Director,  
Market Operations  
Australian Stock  
Exchange
- **Joan Chan**, Vice President  
Cedel Bank, Hong Kong
- **Anthony Galliano**,  
Asia Pacific Region Head  
Citibank Worldwide  
Securities Services,  
Hong Kong
- **Rajan Raju**  
Managing Director & CAO,  
Treasury & Markets  
Development Bank of  
Singapore
- **Colin Brooks**, Manager,  
Services & Development  
Division  
HSBC Custody and  
Clearing, Hong Kong
- **Mark Dickens**  
Executive Director,  
Supervision of Markets  
Hong Kong Securities  
and Futures Commission
- **Andrew Law**, Assistant  
Vice President, GISG  
State Street Bank &  
Trust, Hong Kong

## PLUS

## FIND OUT THE LATEST DEVELOPMENTS & TECHNIQUES FOR YOUR BACKROOM OPERATIONS FROM:

- S.W.I.F.T. (Far East)
- Advanced Risk  
Management Solutions
- Hibis Consulting
- International Securities  
Consultancy, Hong Kong
- MeesPierson Asia
- mpct Solutions
- Thomson Financial ESG
- KPMG Financial Services  
Consulting, USA

- Establishing the BCP team
- Problem detection and isolation: Strategies and methods
- Setting up of a command centre
- Crisis communications: Strategies for crisis control and damage limitation

**Dr Goh Moh Heng**  
 Director  
 Hibis Consulting

2.30 **ESTABLISHING A CONTINUOUS LINKED SETTLEMENT (CLS) SYSTEM**

- Understanding the 'nuts and bolts' of a CLS system
- Real benefits vs loopholes
- What are the possible impact of CLS on the procedures and processes of large global commercial banks active in forex trading?
- What are the key technical issues to consider when establishing a CLS system?
- How to gain access to CLS services from a bank's point of view?

**Roy Marsh**  
 Regional Manager, Asia  
 mpct Solutions

3.15 Afternoon Refreshment

3.35 **STRAIGHT-THROUGH-PROCESSING (STP) IN SECURITIES PROCESSING**

- Global trends and industry initiatives
- Impact and development of globalisation of the investment community
- Inherent operational and settlement risk
- STP: It starts from the front office
- T+1 architecture taking shape

**Randall Choo**  
 Business Services Director, Asia Pacific  
 Thomson Financial ESG

4.20 **EFFECTIVE I.T. INFRASTRUCTURE FOR BACK-OFFICE OPERATIONS AND BANK-WIDE RISK MANAGEMENT**

- Risk management concept: Desk-level and bank-wide risks management, value-at-risk and operational risks
- New regulatory framework and its implications: Management supervision
- Role of I.T. in reducing risks:
  - Current vs future I.T. needs
  - Network infrastructure and security issues
  - Accessing the essential Risk Management Data through a Risk Management Data Warehouse
  - Analysis tools for risk management
- Selecting the appropriate systems to meet future challenges

**Ralph Yiehmin Liu**  
 Founder/Managing Director  
 Advanced Risk Management Solutions

5.05 End of Conference

**WHY YOU SHOULD ATTEND**

- **Identify** the key challenges facing backroom operators in today's globally competitive and technologically sophisticated environment
- **Learn** how to transform backroom operations from a support into a value-adding function in the organisation
- **Reassess** the performance of your back-office technologies to meet the growing demands for a faster turnaround to customers
- **Hear** latest developments and market trends in securities trading and settlements
- **Master** the key to integrating backroom operations and optimise it for greater efficiency
- **Grasp** an overview and update of regulatory requirements governing securities trading, settlements and clearing
- **Explore** how I.T. has bridged the gap between front and back-office work processes
- **Discover** new benchmarking models and strategies to achieve best-in-class performance
- **Leverage** on new technology and effective risk management strategies to enhance your backroom operations
- **Establish** a win-win relationship with your custodian
- **Examine** why STP, ETC, CLS and the Internet are the way forward in securities processing
- **Understand** how to create greater value propositions for institutional investors
- **Be aware** of the latest developments of your peers
- **Network and Exchange** views with your counterparts and other Backroom Professionals from around the region

**WHO SHOULD ATTEND**

This Conference is a **MUST** for all Backroom Operations Professionals including Directors, Managers, Heads and Senior Executives responsible for the following functions:

- Operations
- Settlements
- Finance & Administration
- Treasury
- Custodial Services
- Custody & Clearing Services
- Securities Processing Services
- Risk Management
- Internal Control & Compliance
- Information Technology

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